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**PATENT**

Attorney Docket No: INST003/01US

**GROUP 3600**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of Zdenek Becka et al.

Appln. No.: 10/073,141

Examiner: Unassigned

Filed: February 13, 2002

Art Unit: 2163

Confirmation No: 5441

For: **SYSTEM AND METHOD FOR IMPROVING OPERATIONAL  
EFFICIENCY THROUGH PROCESS AUTOMATION**

Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL**

Enclosed is an Information Disclosure Statement and accompanying Form  
PTO/SB/08A for the above-identified patent application.

- ☒ In accordance with 37 C.F.R. §1.97(b), no additional fee for submission of  
the IDS is required.
- ☒ A return receipt postcard is also enclosed.

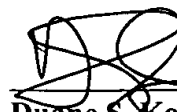
The Commissioner is hereby authorized to charge any appropriate fees under 37  
C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any  
overpayment, to Deposit Account No. 50-1283.

Dated: **July 18, 2002**

Cooley Godward LLP  
One Freedom Square  
Reston Town Center  
11951 Freedom Drive  
Reston, Virginia 20190-5656  
(703) 456-8000  
(703) 456-8100

Respectfully submitted,  
**COOLEY GODWARD LLP**

By:

  
**Duane S. Kobayashi**  
Reg. No. 41,122



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**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.97(b)**

**GROUP 3600**

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56,  
Applicant(s) hereby submits the following information in conformance with 37 C.F.R.  
§§1.97 and 1.98.

- ☒ Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08 is enclosed.
- ☐ No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. \_\_\_ to which the above-identified application claims priority under 35 U.S.C. §120.
- ☐ Publication(s) \_\_\_ listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to \_\_\_ application serial no. \_\_\_ and mailed on \_\_\_.
- ☐ Enclosed is a copy of a non-English publication(s) \_\_\_. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).
- ☐ Enclosed is a copy of a non-English publication(s) \_\_\_. English language publication \_\_\_ (copy enclosed) claims priority from this non-English publication.

- ☐ Enclosed is an explanation of non-English publication(s) \_\_\_ for which an English translation is not available.
- ☐ Enclosed is an English translation of non-English publication(s) \_\_\_ cited in the attached Form PTO/SB/08A.
- ☒ Enclosed is a copy of pending patent Application Serial Nos. 10/073,142 (Attorney Docket No. INST002/01) and 10/073,151 (Attorney Docket No. INST001/01US).

This Information Disclosure Statement is filed within any one of the following time periods:

- ☐ within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
- ☐ within three months from the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in this international application;
- ☒ before the mailing date of a first office action on the merits; or
- ☐ before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.


It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

Dated: **July 18, 2002**

Cooley Godward LLP  
One Freedom Square  
Reston Town Center  
11951 Freedom Drive  
Reston, Virginia 20190-5656  
(703) 456-8000  
(703) 456-8100

Respectfully submitted,  
**COOLEY GODWARD LLP**

By:

  
\_\_\_\_\_  
**Duane S. Kobayashi**  
**Reg. No. 41,122**

Please type a plus sign (+) inside this box → +

PTO/SB/08A (08-00)

Substitute for form 1449A/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet **1** of **1**

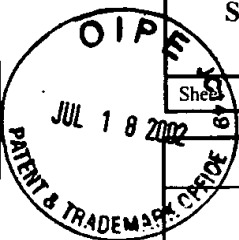
## **Complete if Known**

Application Number **10/073,141**  
 Filing Date **February 13, 2002**  
 First Named Inventor **Becka**  
 Group Art Unit **2163**  
 Examiner Name **Unassigned**  
 Attorney Docket Number **INST003/01US**

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**GROUP 3600**



## **U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code <sup>2</sup> (if known)		
	A1	6,256,776	B1	Melanson	07-03-2001
	A2	6,256,651	B1	Tuli	07-03-2001
	A3	6,256,640	B1	Smalley et al.	07-03-2001
	A4	6,249,715	B1	Yuri et al.	06-19-2001
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	A6	6,233,537	B1	Gryphon et al.	05-15-2001
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	A8	6,157,915		Bhaskaran et al.	12-05-2000
	A9	6,157,808		Hollingsworth	12-05-2000
	A10	6,154,753		McFarland	11-28-2000
	A11	6,151,583		Ohmura et al.	11-21-2000
	A12	6,138,088		Goeser	10-24-2000
	A13	6,115,642		Brown et al.	09-05-2000
	A14	6,088,679		Barkley	07-11-2000
	A15	6,073,109		Flores et al.	06-06-2000
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	A18	6,044,354		Asplen, Jr.	03-28-2000
	A19	6,038,543		Kurosawa	03-14-2000
	A20	6,002,396		Davies	12-14-1999
	A21	5,999,911		Berg et al.	12-07-1999
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	A23	5,983,194		Hogge et al.	11-09-1999
	A24	5,923,552		Brown et al.	07-13-1999
	A25	5,907,490		Oliver	05-25-1999
	A26	5,893,074		Hughes et al.	04-06-1999
	A27	5,873,067		Kobayashi	02-16-1999
	A28	5,835,898		Borg et al.	11-10-1998
	A29	5,826,252		Wolters, Jr. et al.	10-20-1998
	A30	5,768,506		Randell	06-16-1998
	A31	5,734,837		Flores et al.	03-31-1998
	A32	5,548,506		Srinivasan	08-20-1996
	A33	5,164,897		Clark et al.	11-17-1992

## **OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>1</sup>
	B1	Washington Post, "Software Engineers Bridge a Gap," July 16, 2001.	
	B2	Potomac Tech Journal, "Intersect Unveils Software Package for Engineers," July 9, 2001.	
Examiner Signature			Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.